

Docket No. 110768-00102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Albert DONALDSON

SERIAL NO:

FILING DATE: Herewith

FOR: METHOD AND APPARATUS FOR FILTERING JUNK EMAIL

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

jc542 U.S. PTO  
09/548322  
04/12/00

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	43 - 20 =	23	× \$18 =	\$414.00
INDEPENDENT CLAIMS	11 - 3 =	8	× \$78 =	\$624.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$690.00
TOTAL OF ABOVE CALCULATIONS				\$1,728.00
<input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$-864.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$864.00

- ☒ Please charge Deposit Account No. 23-2185 in the amount of **\$864.00** A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$864.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 23-2185. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

BLANK ROMECOMISKY & MCCAULEY LLP

*Peter S. Weissman*

Peter S. Weissman

Registration No. 40,220

Date: April 12, 2000

THE FARRAGUT BUILDING  
SUITE 1000  
900 17<sup>TH</sup> STREET, NW  
WASHINGTON, DC 20006  
TEL (202) 530-7400  
FAX (202) 463-6915

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	110768-00102
First Inventor or Application Identifier	DONALDSON, Albert
Title : METHOD AND APPARATUS FOR FILTERING JUNK EMAIL	
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification [Total Pages 93 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Sheets 29 ]
- ☒ Oath or Declaration [Total Pages 2 ]
  - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Copy
  - ☐ Paper Copy (identical to computer copy)
  - ☐ Statement verifying identity or above copy

**ACCOMPANYING APPLICATION PARTS**

- ☐ Assignment Paper (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503) (should be specifically itemized)
- ☒ \*Small Entity ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other: .....

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/447,590  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: 2756

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 002779 or ☐ Correspondence address below  
(insert Customer No. or Attach bar code label here)

NAME	BLANK ROME COMISKY & MCCAULEY LLP				
ADDRESS	900 17 <sup>TH</sup> STREET, N.W., SUITE 1000				
CITY	WASHINGTON	STATE	D.C.	ZIP CODE	20006
COUNTRY	U.S.	TELEPHONE	(202) 530-7400	FACSIMILE	(202) 463-6915

Name (Print/Type)	Peter S. Weissman	Registration No. (Attorney/Agent)	40,220
Signature	<i>Peter S. Weissman</i>	Date	4/12/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents, Box Patent Application, Washington, DC 20231.

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